

**CITY OF BRISBANE  
CASH BALANCES & INVESTMENTS  
SOURCE OF FUNDING  
November 30, 2014**

NAME OF DEPOSITORY	INVESTMENT TYPE	DATE OF INVESTMENT	FACE VALUE OF INVESTMENT	CARRY VALUE OF INVESTMENT	MARKET VALUE OF INVESTMENT	COUPON INTEREST RATE %	MATURITY DATE	RATING/ COLLATERAL
BANK OF AMERICA	Checking A/C		\$ 3,518,833	\$ 3,518,833	\$ 3,518,833	0.000		100% collateral
BANK OF AMERICA	Savings		\$ 11,666	\$ 11,666	\$ 11,666	0.030		
STATE FUND (LAIF)	Deposit on call	continuous	\$ 4,046,059	\$ 4,046,059	\$ 4,046,059	0.260	on call	no rating
<b>Other Investments</b>								
	FFCB	4/17/2013	\$ 500,000	\$ 500,000	\$ 497,260	0.690	4/17/2017	
	FFCB	5/1/2013	\$ 500,000	\$ 500,000	\$ 497,400	0.690	5/1/2017	
	FFCB	5/30/2013	\$ 500,000	\$ 500,000	\$ 498,095	0.750	5/30/2017	
	FFCB	12/5/2012	\$ 1,000,000	\$ 999,400	\$ 997,620	0.770	6/5/2017	
	FHLB	6/13/2013	\$ 500,000	\$ 500,000	\$ 498,825	0.750	6/13/2017	
	FFCB	11/16/2012	\$ 500,000	\$ 500,000	\$ 498,425	0.940	11/13/2017	
	FFCB	11/27/2012	\$ 1,000,000	\$ 1,000,000	\$ 991,875	0.870	11/27/2017	
	FHLB	2/20/2013	\$ 500,000	\$ 500,000	\$ 496,835	1.100	2/20/2018	
	FNMA	2/27/2013	\$ 500,000	\$ 500,000	\$ 497,905	1.050	2/27/2018	
	GE Capital Corporation	6/7/2013	\$ 500,000	\$ 498,750	\$ 502,805	1.625	4/2/2018	
	FHLB	4/23/2018	\$ 500,000	\$ 500,000	\$ 496,735	1.000	4/23/2018	
	FHLB	3/27/2014	\$ 500,000	\$ 500,000	\$ 500,500	1.500	6/27/2018	
	FHLB	7/10/2014	\$ 500,000	\$ 500,000	\$ 500,035	1.530	7/10/2018	
	FHLM	12/18/2013	\$ 500,000	\$ 500,000	\$ 500,395	1.750	12/18/2018	
	FHLM	1/30/2014	\$ 1,000,000	\$ 1,000,000	\$ 1,002,930	2.000	1/30/2019	
	FHLB	6/19/2014	\$ 500,000	\$ 500,000	\$ 500,190	1.000	6/19/2019	
	FFCB	6/18/2014	\$ 500,000	\$ 500,000	\$ 502,905	1.970	6/24/2019	
	FNMA	8/20/2014	\$ 500,000	\$ 499,750	\$ 501,350	2.000	8/20/2019	
	FNMA	8/28/2014	\$ 500,000	\$ 500,000	\$ 504,600	2.050	8/28/2019	
	FFCB	9/23/2014	\$ 500,000	\$ 500,000	\$ 502,805	2.030	9/23/2019	
BONY	Treasury Obligations	continuous	\$ 1,851,490	\$ 1,851,490	\$ 1,851,490	0.000	on call	110% collateral
	Sub-total		\$ 13,351,490	\$ 13,349,390	\$ 13,340,980			
U. S. Trust (Cash held by fiscal agents)	2012 Utility (320)	Refinancing	Fed Treas Obl	\$ -	10030			
		Revenue Fund	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest Fund	Fed Treas Obl	\$ -	10037			
	2014 BGPGA Bond (330)	Improvements	Fed Treas Obl	\$ 50,000	10031			
	Pension Bonds (340)	Expense Fund	Fed Treas Obl	\$ 1	10035			
	BFPA 2001Series B (375)	Purchase Fund	Fed Treas Obl	\$ 5,145	10028			
		Escrow	Fed Treas Obl	\$ -	10030			
		Reserve	Fed Treas Obl	\$ 875,531	10032			
		Surplus Fund	Fed Treas Obl	\$ -	10033			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Debt Service	Fed Treas Obl	\$ -	10036			
		Interest	Fed Treas Obl	\$ -	10037			
	BPFA 2009A City Hall (367)	Improvements	Fed Treas Obl	\$ -	10031			
		Reserve	Fed Treas Obl	\$ 196,499	10032			
		Revenue Fund	Fed Treas Obl	\$ 38,266	10034			
		Expense Fund	Fed Treas Obl	\$ 1	10035			
		Interest	Fed Treas Obl	\$ -	10037			
	BPFA 2005B City Hall(366)	Improvements	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest	Fed Treas Obl	\$ 1	10037			
	2005 Refunding (365)	Improvements	Fed Treas Obl	\$ -	10030			
		Revenue Fund	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Debt Service	Fed Treas Obl	\$ -	10036			
	NER Refinance (796)		Fed Treas Obl	\$ -	10030			
		Improvements	Fed Treas Obl	\$ -	10031			
		Reserve	Fed Treas Obl	\$ 250,020	10032			
		Redemption	Fed Treas Obl	\$ 3,975	10035			
	Sub-total	Cash with Fiscal Agents		\$ 1,419,439				
	Total other investments		\$ 13,351,490	\$ 14,768,829	\$ 13,340,980			
<b>TOTAL INVESTMENTS &amp; CASH BALANCES</b>			\$ 20,928,048	\$ 22,345,387	\$ 20,917,538			

Outstanding Loans to Department Heads

	Date of loan	Amount	Amount Remaining	Interest Rate
Stuart Schillinger	4/1/2002	318,750	\$ 318,750	Based on Sales Price
Clay Holstine (1)	7/8/2008	300,000	\$ 252,315	2.25%
Clay Holstine (2)	9/10/2008	200,000	\$ 200,000	Secured by other funds
Randy Breault	10/22/2001	320,000	\$ 198,126	Variable (1.24%)

FFCB - Federal Farm Credit Bank  
FHLB - Federal Home Loan Bank  
FHLM - Federal Home Loan Mortgage Corporation  
FNMA -Federal National Mortgage Association

Two year Treasury	0.47%	
Weighted Interest	0.76%	
Weighted maturity	2.17	Years

**TREASURER'S CERTIFICATE**

These are all the securities in which the city funds including all trust funds and oversight agencies funds are invested and that (excluding approved deferred compensation plans) and that all these investments are in securities as permitted by adopted city policy.

It is also certified that enough liquid resources (including maturities and anticipated revenues) are available to meet the next six months' cash flow.

  
CITY TREASURER

CITY OF BRISBANE  
CASH BALANCES & INVESTMENTS  
SOURCE OF FUNDING  
November 30, 2014

FUND NO.	FUND TITLE	CASH/INVESTMENT BALANCE
100	General Fund	3,168,752
200	Gas Tax Fund	302,150
201	Traffic Congestion Relief Fund	-
203	Proposition 1B	-
205	Measure A Funds	490,193
210	Sierra Pt. Lighting & Landscape Fund	(267,673)
220	NPDES	279,558
250	OTS Grant	22,524
251	L.L.E.B.G.	27,452
254	SLESF Grant	(39,849)
258	Recycling Grant	8,667
259	PEG Equipment Grant	43,478
268	Contract Employees	105,018
270	Fire Training	3,162
320a	2012 Utility Bond	-
320	2012 Utility Bond w/Fiscal Agent	-
330a	2014 BGPFA Bonds w/ Fiscal Agent	50,000
340	Pension Obligation Bonds	-
340a	Pension Obligation Bonds w/ Fiscal Agent	1
341	Pension Obligation Bonds 2013	-
341a	Pension Obligation Bonds w/ Fiscal Agent 2013	-
365a	Refunding 2005 w/Fiscal Agent	-
365	Refunding 2005	-
366	BPFA 2005 B City Hall	-
366a	BPFA 2005 B City Hall w/Fiscal Agent	1
367	BPFA 2009 A City Hall	-
367a	BPFA 2009 A City Hall w/Fiscal Agent	234,766
370 a	BPFA 2001 A	-
370	BPFA 2001 A w/Fiscal Agent	-
375a	BFPA 2001 Series B w/Fiscal Agent	880,675
375	BFPA 2001 Series B	4,147
400	Capital Project Labor	191,852
440	Special Beautification Proj.Fund	(295)
450	Facilities Fees	3,362,755
540	Utility Fund	3,814,573
545	Utility Capital Fund	-
550	Marina Fund	235,371
555	Marina Capital Reserve Fund	1,113,094
600	Fringe Benefits Fund	12,969
610	Flexible Benefits Trust	48,255
620	Dental Insurance Fund	70,473
630	General Liability Insurance Fund	863,876
640	Workers Compensation Insurance Fund	302,984
650	OPEB Fund	121,786
690	Rainy Day Fund	3,795,677
700	Event Insurance	120
705	Professional Organizations	(542)
715	NER/Landmark Trust	(63,704)
722	Brisbane Recycling	6,439
725	Recreation Facilities	5,164
730	Open Space	101
750	Sister City Fund	838
751	Piano Fund	204
760	OPUS Permits/Fees	6,064
765	OPUS Development Trust	39,509
768	HCP Endowment Trust	1,460,523
770	Revolving -I N.E.R.	694
775	Revolving II S.P. & TUNTEX	205,805
777	Margaret/Paul	15,258
780	Baylands Revolving	24,508
781	Baylands EIR	(496,292)
782	Geneva/Candlestick	(3,637)
783	Recology	406,731
785	Quarry Reimbursement	(148,012)
786	Slough Estates	5,789
790	Hotel Reimbursement	(24,153)
796a	NER Refinance	68,957
796	NER Refinance w/Fiscal Agent	253,995
883	Housing Authority	970,288
	Outstanding checks/deposits/adjustments	364,347
	<b>TOTAL CASH RESOURCES</b>	<b>22,345,387</b>